INTEGRABILITY OF STRINGS IN $AdS_3 \times S^3$

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INTRODUCTION

• One of the dual pairs in the AdS/CFT correspondence is: IIB string theory on $AdS_3 \times S^3 \times T^4$ and $\mathcal{N} = (4, 4)$ SCFT on the symmetric product of T^4 . $AdS_3 \times S^3 \times T^4$ arises as the near horizon geometry of D1-D5 system.

• An aspect less explored in this dual pair is that of integrability.

• On can easily show that the sigma model on $AdS_3 \times S^3$ is classically integrable.

It admits an infinite set of non-local charges.

Given a sigma model based on a group manifold

$$\int \operatorname{Tr}(\partial g^{-1} \partial g)$$

Let us define

$$j = -dgg^{-1}$$

One can construct a one parameter family of flat connections

$$J_{\pm} = \frac{j_{\pm}}{1 \mp x}$$

Then the following quantity is independent of time

$$U(x) = P \exp \int_{-\infty}^{\infty} \frac{d\sigma}{2} \left(\frac{j_+}{1-x} - \frac{j_-}{1+x}\right)$$

This serves as the generating function for the charges. Since the sigma model on $AdS_3 \times S^3$ is based on the supergroup SU(1,1|2) the above argument works for this case. • It might be possible to determine the complete spectrum of strings in this system using integrability.

• This can help to understand the states of the symmetric product conformal field theory better.

• One of the well studied black hole background in string theory admits the near horizon geometry $BTZ \times S^3$.

The BTZ geometry is obtained from AdS_3 by discrete identifications. The integrable structure present in the case of AdS_3 is preserved under these identifications. • Recall certain features of integrability for the case of $AdS_5 \times S^5$. Magnon states played an important role in this case.

• Magnons are BPS they obey the dispersion relation

$$E - J = \sqrt{1 + f(\lambda) \sin^2 \frac{p}{2}}$$

where:

- λ is the t'Hooft coupling,
- p is the momentum of the magnon,
- E the energy,
- J the R-charge.
- Since they are BPS they can be identifed as states both in the field theory and as classical solutions of the string sigma model on $AdS_5 \times S^5$

- Using their supersymmetric properties and integrability it is possible to determine the S-matrix upto a phase.
- The phase is constrained by crossing symmetry.
- There is a conjectured exact expression for the phase. This agrees with the semi-classical expansion of the phase determined by studying scattering of the magnons.

- The D1-D5 system also admits magnons states.
- We wish to determine:
 - The dispersion relation of the magnons.
 - The S-matrix of the magnons.

MAGNONS: DEFINITION

• Boundary CFT of the D1-D5 system: $\mathcal{N} = (4, 4)$ CFT on a resolution of the symmetric product orbifold

 $\mathcal{M} = (T^4)^{Q_1 Q_5} / S(Q_1 Q_5).$

The global part of the $\mathcal{N} = (4, 4)$ algebra is the $SU(1, 1|2) \times SU(1, 1|2)$. Bosonic part: $(SL(2, R) \times SU(2)) \times (SL(2, R) \times SU(2))$.

• The chiral primaries of the CFT satisfy the condition:

 $L_0 = J^3, \tilde{L}_0 = \tilde{J}^3$

They are the ground states in \mathbb{Z}_J twisted sector of the orbifold with charge: $(\frac{J-1}{2}, \frac{J-1}{2})$

• The subgroup which leaves the chiral primaries invariant: consists of a left moving

 $SU(1|1) \times SU(1|1)$

and a right moving:

 $SU(1|1) \times SU(1|1)$

• Let us focus on the subgroup $SU(1|1) \times SU(1|1)$.

 $\{Q_1, S_1\} = C_1, \qquad \{Q_2, S_2\} = C_2$ $\{Q_1, Q_2\} = 0, \qquad \{S_1, S_2\} = 0,$ $\{Q_1, S_2\} = 0, \qquad \{S_1, Q_2\} = 0.$ C_1 , C_2 are central elements of the algebra.

• Magnon Excitations are the following excitations above the chiral primary

$$|\phi_{p_1}\phi_{p_2}\cdots\phi_{p_j}\rangle_J\otimes|0\rangle_J=J_{p_1}^-J_{p_2}^-\cdots J_{p_j}^-|0\rangle_J\otimes|0\rangle_J.$$

where

$$J_p^- = \sum_{k=1}^J e^{ipk} J_{(k)}^-,$$

and $J_{(k)}^-$ is the lowering operator of the left moving SU(2) R-current of the k-th copy of the torus involved in the \mathbb{Z}_J twisted sector. To satisfy orbifold group invariance condition we need to impose the condition

$$\sum_{i} p_i = 0.$$

MAGNONS: THE DISPERSION RELATION

• The dispersion relation of these magnons is obtained from the BPS condition as a result of a central extension of $SU(1|1) \times SU(1|1)$

• The centrally extended algebra is

 $\{Q_1, S_1\} = C_1, \qquad \{Q_2, S_2\} = C_2,$ $\{Q_1, Q_2\} = C_3 - iC_4, \qquad \{S_1, S_2\} = C_3 + iC_4,$ $\{Q_1, S_2\} = 0, \qquad \{S_1, Q_2\} = 0.$

Extended by more central charges: C_3, C_2 . Consistent with the condition: $Q^{\dagger} = S$

• The centrally extended algebra is a $\mathcal{N}=2$ Poincaré algebra in 2+1 dimensions.

• The BPS condition is given by

$$(C_1 + C_2)^2 = (C_1 - C_2)^2 + 4(C_3^2 + C_4^2)$$

- It can be shown that using
 - Conformal pertubation theory on the symmetric product.
 - Supersymmetric properties of magnons as solitons of the string sigma model

A single magnon is BPS and carries the following values for the central charge

$$C_3 - iC_4 = \alpha(e^{-ip} - 1), \quad C_3 + iC_4 = \alpha^*(e^{ip} - 1),$$

 $C_1 - C_2 = 1, \quad C_1 + C_2 = E - J$

 Substituting the values of the central charges in in the BPS condition we obtain

$$E - J = \sqrt{1 + 16g^2 \sin^2 \frac{p}{2}}$$

where $g = |\alpha|$ is a function of the parameters of the D1-D5 system. The dispersion relation for Q magnons is given by

$$E - J = \sqrt{Q^2 + 16g^2 \sin^2 \frac{p}{2}}$$

 From the first order perturbation theory in the symmetric product orbifold we see

$$16g^2 = \lambda^2 \frac{Q_1 Q_5}{\pi}$$

where λ is the strength of the marginal perturbation which resolves the symmetric product orbifold

 From the dispersion relation of the magnons obtained using the sigma model we see

$$16g^2 = \frac{R^2}{\pi\alpha} = g_6^2 \frac{Q_1 Q_5}{\pi^2}$$

where R is the radius of S^3 and g_6 is the 6d-string coupling.

• A natural identification is $\lambda = g_6$, then the function g remains the same through out the parameter space of the D1-D5 system.

• We will show that this holds true at one loop in the sigma model perturbation theory.

- For further discussion we adopt the following intrinsic parametrization
- Introduce the spectral parameters x^+, x^- :

$$\frac{x^+}{x^-} = e^{ip}$$

subject to the constraint

$$x^{+} + \frac{1}{x^{+}} - x^{-} - \frac{1}{x^{-}} = i\frac{Q}{g}$$

Let

$$c = -i(x^+ - x^-)$$

• Then the central charges carried by the magnon are given by

$$C_1 + C_2 = 2gc - 1, \quad \bar{C} = C_3 - iC_4 = \alpha(\frac{x}{x^+} - 1),$$

 $C = C_3 + iC_4 = \alpha^*(\frac{x^+}{x^-} - 1), \quad C_1 = gc, \quad C_2 = gc - 1.$

THE S-MATRIX FOR THE MAGNONS

• The S-matrix for the scattering of two magnons is constrained by symmetries and integrability.

• We need the following facts about SU(1|1) algebra:

 $\{Q_1, S_1\} = C_1.$

It admits a charge B which obeys

 $[B, Q_1] = -2Q_1, \qquad [B, S_1] = 2S_1.$

The quadratic Casimir is given by

 $\mathcal{J} = 2[Q_1, S_1] + \{B, C_1\}.$

• The S-matrix acts on the tensor product of the two magnon Hilbert space

It can be written as

$$\mathcal{S}_{12} = \mathcal{P}_{12} \mathcal{R}_{12}$$

where \mathcal{P}_{12} is the exchange operator and \mathcal{R}_{12} is the R-matrix.

• Invariance under the sum of the SU(1|1) generators of the two magon Hilbert space constraints the R-matrix to the form

 $\mathcal{R}_{12} = X_{12}\mathcal{I}^{(12)} + Y_{12}\mathcal{J}^{(12)}$

 X_{12}, Y_{12} are scalars depending on the spectral parameters of the magnons.

 $\mathcal{I}^{(12)}$ is the identity. $\mathcal{J}^{(12)}$ is the quadratic Casimir of the sum of the SU(1|1) generators of the two magon Hilbert space.

• The R-matrix satisfies the unitarity constraint and the Yang-Baxter

relations.

$$\mathcal{R}_{12}\mathcal{R}_{21} = \mathcal{I}_{12}, \quad \mathcal{R}_{12}\mathcal{R}_{13}\mathcal{R}_{23} = \mathcal{R}_{23}\mathcal{R}_{13}\mathcal{R}_{12}.$$

• Imposing these conditions determines the scalars X_{12} and Y_{12} as

$$X_{12} = \hat{X}_{12} \exp(i\Theta_{(12)}), \qquad Y_{12} = \hat{Y}_{12} \exp(i\Theta_{(12)})$$

 $\hat{X}_{12}, \hat{Y}_{12}$ are explicitly known functions of the spectral parameters. The phase Θ_{12} is not fixed, but satisfies the unitarity constraint

$$\Theta_{12} + \Theta_{21} = 0$$

• To summarize the S-matrix constructed satisfies the following invariance properties under $SU(1|1) \times SU(1|1)$.

$$\begin{split} [Q_1^{(1)} \otimes 1 + (-1)^F \otimes Q_1^{(2)}, \mathcal{S}_{12}] &= 0, \\ [S_1^{(1)} \otimes 1 + (-1)^F \otimes S_1^{(2)}, \mathcal{S}_{12}] &= 0, \\ [C^{(1)}S_2^{(1)} \otimes C_2^{(2)} + (-1)^F C_2^{(1)} \otimes C^{(2)}S_2^{(2)}, \mathcal{S}_{12}] &= 0, \\ [\bar{C}^{(1)}Q_2^{(1)} \otimes C_2^{(2)} + (-1)^F C_2^{(1)} \otimes \mathcal{C}^{(2)}Q_2^{(2)}, \mathcal{S}_{12}] &= 0. \end{split}$$

 The action of the S-matrix on the two magnon state can be explicitly written as

$$\mathcal{S}_{12}|\phi_{p_1}\phi_{p_2}\rangle\otimes|0\rangle = S_0(x^{\pm},y^{\pm})\left(\frac{x^+-y^-}{x^--y^+}\right)^2|\phi_{p_2}\phi_{p_1}\rangle\otimes|0\rangle$$

 x^{\pm}, y^{\pm} parametrizes the rapidity parameters of the first magnon and second magnon respectively.

 $S_0(x^{\pm}, y^{\pm})$ is the undetermined phase factor.

• We parametrize the phase factor as

$$S_0(x^{\pm}, y^{\pm}) = \sigma_{\text{BDS}} \times \sigma^2(x^{\pm}, y^{\pm}),$$

= $\frac{x^- - y^+}{x^+ - y^-} \frac{1 - \frac{1}{x^+ y^-}}{1 - \frac{1}{x^- y^+}} \times \sigma^2(x^{\pm}, y^{\pm}),$

where

$$\sigma_{\rm BDS} = \frac{x^- - y^+}{x^+ - y^-} \frac{1 - \frac{1}{x^+ y^-}}{1 - \frac{1}{x^- y^+}}.$$

This is done so that difference in the phase factor from the $\mathcal{N}=4$ case is captured in the function $\sigma(x^{\pm}, y^{\pm})$.

•To summarize:

- Symmetries and Integrability constrain both the dispersion relation and the S-matrix.
- For the case of dispersion relation, the undetermined part lies in the function *g* and its dependence on the parameters of the D1-D5 sysem.
- For the case of the S-matrix the undetermined part is the phase factor.
- We will now determined both these to one loop in the sigma model coupling.

MAGNONS AT STRONG COUPLING

• At strong coupling magnons are classical solutions of the string sigma model in $AdS_3 \times S^3$.

- There are 3 interesting limits at strong coupling
 - Plane wave limit:

$$g
ightarrow \infty, \quad k=2gp \;\; {\rm fixed}, \quad Q \;\; {\rm fixed}$$

The dispersion relation reduces to

$$E - J = \sqrt{Q^2 + k^2}$$

The spetral parameters are real eg. for a single magnon

$$x^+ \sim x^- = r = \frac{1}{k}(1 + \sqrt{1 + k^2})$$

• Giant magnon limit:

 $g
ightarrow \infty, \qquad , p, Q \;\; {
m fixed}$

The spectral parameters lie on the unit circle

$$x^{\pm} \sim \frac{1}{x^{-}} \sim \exp\left(i\frac{p}{2}\right)$$

• Dyonic giant magnon limit

$$g \to \infty, \quad Q \to \infty, \quad \frac{Q}{g}, p \text{ fixed}$$

The spectral parameters in this case are complex and of $O(g^0)$ since

$$x^{+} - x^{-} + \frac{1}{x^{+}} - \frac{1}{x^{-}} = i\frac{Q}{g}$$

• From the dispersion relations obtained in these limits it is seen

$$4g = rac{R^2}{\pi lpha'}, \quad ext{for} \quad g o \infty$$

Possible corrections: organized in sigma model perturbation

$$4g = \frac{R^2}{\pi \alpha'}, +g_0 + O((\frac{R^2}{\pi \alpha'})^{-1})$$

substituting this in the exact form for the dispersion relation we obtain

$$E - J = \frac{R^2}{\pi \alpha'} \left| \sin \frac{p}{2} \right| + g_0 \left| \sin \frac{p}{2} \right| + O(\frac{R^2}{\pi \alpha'})^{-1} + \cdots$$

• We will show that the one loop term g_0 vanishes.

• We have parametrized the undetermined phase factor in terms of the function

$$\sigma(x^{\pm}, y^{\pm}) = e^{i\theta(x^{\pm}, y^{\pm})}$$

• The phase factor admits a semi-classical expansion

$$\theta(x^{\pm}, y^{\pm}) = g\left(\theta_0(x^{\pm}, y^{\pm}) + \frac{1}{g}\theta_1(x^{\pm}, y^{\pm}) + \frac{1}{g^2}\theta_2(x^{\pm}, y^{\pm}) + \cdots\right).$$

THE LEADING PHASE FACTOR

• The leading semi-classical phase shift $\theta_0(x^{\pm}, y^{\pm})$ can be evaluated from the two magnon classical solution.

• Compare the solution at $t \to \pm \infty$ to the single solition solution. Evaluate the time delay for ΔT_{12} relative to free propagation.

Then the semi-classical phase shift is given by

 $\frac{\partial \theta_0(p_1, p_2)}{\partial E_{p_1}} = \Delta T_{12}$

• The time delay just depends on classical solution.

The classical solution is a string configuration in $R \times S^3$.

Thus the time delay must be the same as for the case of $AdS_5 \times S^5$ for which the magnons are oriented along a S^3 in S^5 .

• This implies that the semi-classical phase shift must be the same as that

evaluated for the case of $AdS_5 imes S^5$

$$\theta_0(x^{\pm}, y^{\pm}) = k(x^+, y^+) - k(x^+, y^-) - k(x^-, y^+) + k(x^-, y^-),$$

where

$$k(x,y) = \left[\left(y + \frac{1}{y} \right) - \left(x + \frac{1}{x} \right) \right] \log \left(1 - \frac{1}{xy} \right).$$

• As a simple check we can explicitly evaluate the phase shift for the magnons in $R \times S^3$ in the giant magnon limit and compare with the above expression.

We obtain agreement.

ONE-LOOP CORRECTIONS

 One-loop corrections to the dispersion relation and to the phase shift are evaluated by

$$\Delta E(p) = \frac{1}{2\pi} \sum_{I=1}^{N_F} (-1)^{F_I} \int_{-\infty}^{\infty} dk \frac{\partial \delta_I(k;p)}{\partial k} \sqrt{k^2 + 1}.$$

$$2\theta_1(p_1, p_2) = \frac{1}{4\pi} \sum_{I=1}^{N_F} (-1)^{F_I} \int_{-\infty}^{\infty} dk \left(\frac{\partial \delta_I(k; p_1)}{\partial k} \delta_I(k, p_2) - \frac{\partial \delta_I(k; p_2)}{\partial k} \delta_I(k, p_1) \right).$$

 $\delta(k;p)$ be the phase shift corresponding to the scattering of a plane wave off the either a giant dyonic magnon with momentum p. k is the wave number of the plane wave. I labels the fluctuations of the

magnons with Bose/Fermi statistics depending on the sign of $(-1)^{F_I}$.

• Thus the problem is reduced to the evaluation of the phase shifts for various plane wave fluctuations about a background magnon.

• This can be evaluated by the strategy: Consider a n + 1 giant dyonic magnon solution.

Take a plane wave limit on one of them

Then this magnon becomes the plane wave fluctuation.

• Let us parametrize S^3 as

$$\{(Z_1, Z_2) : |Z_1|^2 + |Z_2|^2 = 1\} \quad \leftrightarrow \quad g = \begin{pmatrix} Z_1 & -iZ_2 \\ -i\bar{Z}_2 & \bar{Z}_1 \end{pmatrix} \in SU(2),$$

Then the plane wave phase shifts are

$$\delta_{Z_1} = 0,$$

$$\delta_{\bar{Z}_1}(r) = 0,$$

$$\delta_{Z_2} = -\left[2i\ln\left(\frac{r-x^+}{r-x^-}\right) - i\ln\left(\frac{x^+}{x^-}\right)\right].$$

$$= -2G$$

$$\delta_{\bar{Z}_2}(1/r) = \left[2i\ln\left(\frac{r-x^+}{r-x^-}\right) - i\ln\left(\frac{x^+}{x^-}\right)\right]$$

$$= 2G$$

r is the spectral parameter of the plane wave and is related to its wave number and frequency as

$$k = \frac{2r}{r^2 - 1}, \qquad \omega = \frac{r^2 + 1}{r^2 - 1}$$

• For coordinates in AdS_3 , since they couple trivially, fluctuations along these do not suffer phase shifts. Indeed

$$\delta_{Y_1} = \delta_{\bar{Y}_1} = \delta Y_2 = \delta_{\bar{Y}_2} = 0$$

• Fermionic coordinates of S^3 and AdS_3 are coupled due to the presence of RR fluxes.

Let the superpartners of the coordinates Z_2 and Y_2 be the complex fermions θ and η respectively. The the phase shifts along these directions are

$$\delta_{\theta} = \delta_{\eta} = -G(r, x^{\pm}), \qquad \delta_{\bar{\theta}} = \delta_{\bar{\eta}} = G(1/r, x^{\pm})$$

These relations are obtained using the properties of the sigma model supergroup SU(1, 1|2).

 Substituting these values for the phase shifts in the expression for the one loop energy

$$2\pi\Delta E(x^{\pm}) = \int_{-1}^{1} dr \sqrt{k(r)^{2} + m^{2}} \frac{\partial}{\partial r} \left[\delta_{Z_{2}} + \delta_{\bar{Z}_{2}} - (\delta_{\theta} + \delta_{\eta} + \delta_{\bar{\theta}} + \delta_{\bar{\eta}}) \right],$$

$$= \int_{-1}^{1} dr \sqrt{k(r)^{2} + m^{2}} \frac{\partial}{\partial r} \left[-2G(r; x^{\pm}) + 2G(1/r; x^{\pm}) - 2G(1/r; x^{\pm}) + 2G(1/r; x^{\pm}) + 2G(1/r; x^{\pm}) \right],$$

$$= 0.$$

Thus we conclude that the formula

$$4g = \frac{R^2}{\pi \alpha'}$$

is exact to one loop.

• The one loop correction to the scattering phase:

$$= \frac{2\theta_1(x^{\pm}, y^{\pm})}{2\pi} \left[\int_{-1}^{+1} dr \, \frac{\partial G(r, x^{\pm})}{\partial r} G(r, y^{\pm}) + \int_{-1}^{+1} dr \, \frac{\partial G(\frac{1}{r}, x^{\pm})}{\partial r} G(\frac{1}{r}, y^{\pm}) \right] \\ -(x^{\pm} \leftrightarrow y^{\pm}),$$

This can be arranged as

$$2\theta_1(x^{\pm}, y^{\pm}) = \chi_1(x^+, y^+) - \chi_1(x^+, y^-) - \chi_1(x^-, y^+) + \chi_1(x^-, y^-),$$

and $\chi(x, y)$ has an expression in terms of dilogarithms.

$$\chi_1(x,y) = -\frac{1}{2\pi} \left[I_1(x,y) - I_1(y,x) + I_2(x,y) - I_2(y,x) \right].$$

$$I_{1}(x,y) = \left(\ln(x-y) - \frac{1}{2}\ln(y)\right)\ln\left(\frac{x-1}{x+1}\right) \\ + \left[\operatorname{Li}_{2}\left(\frac{x+1}{x-y}\right) - \operatorname{Li}_{2}\left(\frac{x-1}{x-y}\right)\right], \\ I_{2}(x,y) = \left(\ln\left(1-\frac{y}{x}\right) - \frac{1}{2}\ln(y)\right)\ln\left(\frac{1-x}{1+x}\right) \\ + \left[\operatorname{Li}_{2}\left[(x+1)\frac{y}{y-x}\right] - \operatorname{Li}_{2}\left[(x-1)\frac{y}{x-y}\right]\right].$$

CROSSING SYMMETRY AND UNITARITY CONSTRAINTS

• Crossing symmetry relates the scattering matrix of a particle to that of its anti-particle.

$$\mathcal{C}^{-1} \otimes I \mathcal{S}_{12}^{T_1}(-p_1, p_2) \mathcal{C} \otimes I \mathcal{S}_{12}(p_1, p_2) = I,$$

$$I \otimes \mathcal{C}^{-1} \mathcal{S}_{12}^{T_2}(p_1, -p_2) I \otimes \mathcal{C} \mathcal{S}_{12}(p_1, p_2) = I.$$

 \mathcal{C} is the charge conjugation operator. This implies the following equations for the phase factor

$$S_0(x, y)S_0(\frac{1}{x}, y) = f(x, y)$$
$$S_0(x, y, S_0(x, \frac{1}{y}) = f(x, y)$$

Unitarity then implies

$$f(x,y)f(y,\frac{1}{x}) = 1$$

 Crossing symmetry and unitarity leads to the following constraint on the phase factor

$$\ln\frac{y^{+}}{y^{-}} + i\theta(x,y) - \ln\frac{x^{+}}{x^{-}} - i\theta\left(\frac{1}{x},\frac{1}{y}\right) = 0.$$

• We can substitute the expansion

$$\theta(x,y) = g\theta_0(x,y) + \theta_1(x,y) + \cdots$$

and note that $\theta_0(x,y)$ satisfies

$$g\theta_0\left(\frac{1}{x},\frac{1}{y}\right) = g\theta_0(x,y) + i\ln\left(\frac{x^+}{x^-}\right) - i\ln\left(\frac{y^+}{y^-}\right).$$

and

$$\theta_1\left(\frac{1}{x},\frac{1}{y}\right) = \theta_1(x,y).$$

• This results in the fact that the contraint following from crossing symmetry and unitarity is statisfied to one loop.

SUMMARY

- We used symmetries and integrability to constrain the form of the dispersion relations and the S-matrix for the magnons in $AdS_3 \times S^3$.
- We obtained information about the dependence of the coupling g on the parameters of the D1-D5 system and the phase factor to one loop using semi-classical methods.